# Teoria dei modelli della doppia appartenenza 

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Università di Pisa
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A model-theoretic look at certain graphs arising from a non-well-founded set theory.

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Plan of the talk:

- Set-up: double-membership graphs; Anti-Foundation.
- Untameness: why these graphs are (very) wild.
- Games: how ideas from finite model theory help.


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If $M \vDash$ ZFC is countable, then $M_{S}$ is the Random Graph.
Proof.
Show that $M_{S}$ satisfies the Random Graph axioms.

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## Proof.

Show that $M_{S}$ satisfies the Random Graph axioms.
How much set theory does $M$ need? Emptyset, Pairing, Union, and Foundation.
Foundation: no infinite descending $\in$-sequences. In particular, no $x \in x$, no $x \in y \in x$.
What happens without Foundation?

## Double-membership

## Definition

Let $M$ be an $\{\in\}$-structure. $S(x, y):=x \in y \vee y \in x \quad D(x, y):=x \in y \wedge y \in x$. Double-membership graph $M_{D}$ : reduct of $M$ to $\{D\}$. Similarly for $M_{S D}$.

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From now on graph=loopy graph: points are allowed to have an edge to themselves.
Proposition (Adam-Day, Howe, M.)
Let $G$ be a graph in $M \vDash$ ZFC. There is $N \vDash$ ZFC $\backslash\left\{\right.$ Foundation such that $N_{D}$ is isomorphic to $G$ plus infinitely many isolated points. In particular $M_{S}$ can have an arbitrary number of points with loops.

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So we need structure. AFA: allow non-well-founded sets, but in a way controlled by the well-founded ones. Allow 'Mostowski collapse for all binary relations'.

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$X=\{x, y\}, A=\{\emptyset,\{\emptyset\}\}$, equations $x=\{x, y, \emptyset\}$ and $y=\{x,\{\emptyset\}\}$.

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Anti-Foundation Axiom: 'every flat system has a unique solution'. ZFA is ZFC with Foundation replaced by Anti-Foundation.
Fact (Forti, Honsell; Aczel)
ZFA is biinterpretable with (hence equiconsistent to) ZFC.

## Summary of results

Starting point:
Theorem (Adam-Day, Cameron)
If $M \vDash$ ZFA is countable, then $M_{S}$ is the Fraïssé limit of finite loopy graphs. $M_{S D}$ and $M_{D}$ are not $\omega$-categorical: every finite graph embeds as a union of connected components in $M_{D}$.

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Questions that were asked:

1. Are there infinitely many countable models of $\operatorname{Th}\left(M_{S D}\right)$ ? Of $\operatorname{Th}\left(M_{D}\right)$ ?
2. Are there infinitely many countable $M_{S D}$ ? $M_{D}$ ?
3. Infinite connected components of $M_{D}$ ?
4. ZFA with Infinity replaced by its negation?
5. $M_{S D} \equiv N$, both countable. Is $N$ an SD-graph? Same for $M_{D}$.

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4. $M_{S D} \equiv N$, both countable. Is $N$ an SD-graph? Same for $M_{D}$.
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4. $M_{S D} \equiv N$, both countable. Is $N$ an SD-graph? Same for $M_{D}$. No.
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Wlog $\operatorname{dom} G=\kappa$. Take a solution to $x_{i}=\left\{i, x_{j} \mid j \in \kappa, G \vDash R(i, j)\right\}(i \in \kappa)$.

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Corollary (Adam-Day, Howe, M.)

$$
\left\{a_{5}, 3\right\}
$$

There are $2^{\aleph_{0}}$ countable $M_{D}$. Each of their theories has $2^{\aleph_{0}}$ countable models.
Proof.
For every $A \subseteq \omega \backslash\{0\}$, consider ' $I$ have a neighbour of degree $n$ iff $n \in A$ '.

## The root of all evil

It turns out that $M_{D}$ is horribly complicated. This is the main reason.

## Definition

Let $\varphi$ be a $\{D\}$-sentence implying $D$ is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi):=\exists x(\neg D(x, x) \wedge \chi(x))$.

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$M_{D} \vDash \mu(\varphi) \Leftrightarrow M \vDash \operatorname{Con}(\varphi) \Rightarrow$ A union of connected components of $M_{D}$ satisfies $\varphi$. Proof.
Add/remove a point to/from a graph and use the previous theorem.

## The evil that graphs do

Corollary (Adam-Day, Howe, M.)
$\mathrm{Th}\left(M_{D}\right)$ interprets with parameters arbitrary finite fragments of ZFC. In particular it has $\mathrm{SOP}, \mathrm{TP}_{2}, \mathrm{IP}_{k}$ for all $k$, you name it.

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## Proof.

1. Rosser: there is a $\Pi_{1}^{0}$ arithmetical statement independent of ZFC/ZFA.

Rosser's Theorem=Refined version of Gödel Incompleteness.
2. Friedman-Harrington: every $\Pi_{1}^{0}$ statement is equivalent to some $\operatorname{Con}(\theta)$.
3. Translate $\theta$ into a formula $\varphi$ of graphs (graphs interpret anything!).
4. Consider $\mu(\varphi)$.

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## Fact

$\equiv_{n}$-classes are characterised by a single formula. (The language is finite relational!)

## Completions

Theorem (Adam-Day, Howe, M.)
$A, B \vDash \operatorname{Th}\left(\left\{M_{D} \mid M \vDash \mathrm{ZFA}\right\}\right)$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$ 's.

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- Inductively, they are $\equiv_{n-i+2}$-equivalent to those of $b_{1}, \ldots, b_{i-1}$ in $N$.
- If the Spoiler plays in an already considered connected component, fine.
- Otherwise, recall the lemma: $M_{D} \vDash \mu(\varphi) \Leftrightarrow M \vDash \operatorname{Con}(\varphi)$.
- Use the lemma to copy the $\equiv_{n-i+1}$-class of the component of the new point. Since $M_{D}, N_{D}$ are actual reducts, one is free to remove the witness of $\exists$ from $\mu(\varphi)$.


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- As the class is pseudoelementary, it is enough to work with $M_{D}, N_{D}$.
- Play the Ehrenfeucht-Fraïssé game of length $n$. Show the Duplicator wins.
- Take the union of the connected components of $a_{1}, \ldots, a_{i-1}$ in $M$.
- Inductively, they are $\equiv_{n-i+2}$-equivalent to those of $b_{1}, \ldots, b_{i-1}$ in $N$.
- If the Spoiler plays in an already considered connected component, fine.
- Otherwise, recall the lemma: $M_{D} \vDash \mu(\varphi) \Leftrightarrow M \vDash \operatorname{Con}(\varphi)$.
- Use the lemma to copy the $\equiv_{n-i+1}$-class of the component of the new point. Since $M_{D}, N_{D}$ are actual reducts, one is free to remove the witness of $\exists$ from $\mu(\varphi)$.
- Works if natural numbers are standard. Otherwise more care is needed. Essentially, replace 'connected component' with 'what the model thinks is a connected component'.


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Proof for $M_{D}$.
$M_{D}$ has a connected component of infinite diameter. Build $N$ as disconnected pieces satisfying the correct $\psi[1, r]^{\prime}$ s. Each has finite diameter.

## Countable nonelementarity: the difficult case

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The same trick won't work: $M_{S D}$ is one ball of diameter 2 .
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\text { proof of Hanf's Theorem: back-and-forth system } I_{n}, \ldots, I_{0}
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I_{j}:=\left\{a_{1}, \ldots, a_{k} \mapsto b_{1}, \ldots, b_{k} \mid k \leq n-j, B\left(\left(3^{j}-1\right) / 2, \bar{a}\right) \cong B\left(\left(3^{j}-1\right) / 2, \bar{b}\right)\right\}
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## Theorem (Hanf)

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## Answer.

Let $N$ be $M_{S D}$ without the connected components of infinite diameter. Add a twist to the proof of Hanf's Theorem: back-and-forth system $I_{n}, \ldots, I_{0}$

$$
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where the isomorphisms are in $L_{S D}$ but the balls are with respect to $L_{D}$. To show back-and-forth, write suitable flat systems in $M$.

## Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse. Ideas from finite model theory help to understand them.

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## Thanks for your attention!

Want to see what was swept under the rug?


## Rieger-Bernays permutation models

## Proposition (Adam-Day, Howe, M.)

Let $G$ be a graph in $M \vDash$ ZFC. There is $N \vDash$ ZFC $\backslash\{$ Foundation $\}$ such that $N_{D}$ is isomorphic to $G$ plus infinitely many isolated points. In particular $M_{S}$ can have an arbitrary number of points with loops.

## Proof.

Wlog dom $G=\kappa$. Define $N \vDash x \in y \Longleftrightarrow M \vDash x \in \pi(y)$, where $\pi$ is the permutation swapping $a_{i}:=\kappa \backslash\{i\}$ with $b_{j}:=\left\{a_{i} \mid G \vDash R(i, j)\right\}$. Then

$$
N \vDash a_{i} \in a_{j} \Longleftrightarrow M \vDash a_{i} \in \pi\left(a_{j}\right)=b_{j} \Longleftrightarrow G \vDash R(i, j)
$$

and by choice of $a_{i}$ and $b_{i}$ there are no other $D$-edges.
It is an old result that $N \vDash$ ZFC $\backslash$ \{Foundation .


[^0]:    Fine print: assume $\operatorname{Con}(Z F C)$. Otherwise there might be nothing to study.

