

# Modelli booleani e come fascificarli

(da un lavoro con Matteo Viale)

Moreno Pierobon

Pisa - 20/10/2022

# Boolean algebras

Given a topological space  $X$ , let  $\text{CLOP}(X)$  be the boolean algebra of the clopen subsets of  $X$ .

The Stone space  $\text{St}(B)$  of a boolean algebra  $B$  is

$$\text{St}(B) := \{G : G \text{ is an ultrafilter of } B\}.$$

The base for the topology is:

$$\{N_b := \{G \in \text{St}(B) : b \in G\} : b \in B\}.$$

$B$  is isomorphic to  $\text{CLOP}(\text{St}(B))$  via the Stone duality map

$$b \mapsto N_b = \{G \in \text{St}(B) : b \in G\}$$

## Boolean completions

If  $X$  is a topological space and  $A \subset X$ ,  $\text{Reg}(A)$  is the interior of the closure of  $A$  in  $X$ .  $A$  is *regular open* if  $A = \text{Reg}(A)$ .

$\text{RO}(X)$  is the family of regular open subsets of  $X$  ( $\text{CLOP}(X) \subseteq \text{RO}(X)$ ).

$\text{RO}(X)$  is a complete boolean algebra, with the operations given by

$$\neg U = X \setminus \bar{U}, \quad \bigvee_{i \in I} U_i := \text{Reg}\left(\bigcup_{i \in I} U_i\right), \quad \bigwedge_{i \in I} U_i := \text{Reg}\left(\bigcap_{i \in I} U_i\right).$$

A boolean algebra  $B$  is complete if and only if  $\text{CLOP}(\text{St}(B)) = \text{RO}(\text{St}(B))$ .

Every boolean algebra  $B$  can be densely embedded in the complete boolean algebra  $\text{RO}(\text{St}(B))$  via the Stone duality map.

# Boolean valued models

## Definition

Let  $B$  be a *boolean algebra* and  $\mathcal{L}$  be a first order *relational language*.

A  **$B$ -valued model** for  $\mathcal{L}$  is a tuple

$$\mathcal{M} = \langle M, =^{\mathcal{M}}, R_i^{\mathcal{M}} : i \in I, c_j^{\mathcal{M}} : j \in J \rangle$$

with

$$=^{\mathcal{M}}: M^2 \rightarrow B$$

$$(\tau, \sigma) \mapsto \llbracket \tau = \sigma \rrbracket_B^{\mathcal{M}} = \llbracket \tau = \sigma \rrbracket,$$

$$R^{\mathcal{M}}: M^n \rightarrow B$$

$$(\tau_1, \dots, \tau_n) \mapsto \llbracket R(\tau_1, \dots, \tau_n) \rrbracket_B^{\mathcal{M}} = \llbracket R(\tau_1, \dots, \tau_n) \rrbracket$$

for  $R \in \mathcal{L}$  an  $n$ -ary relation symbol.

The constraints on  $R^M$  and  $=^M$  are the following:

- for  $\tau, \sigma, \chi \in M$ ,
  - 1  $\llbracket \tau = \tau \rrbracket = 1_B$ ;
  - 2  $\llbracket \tau = \sigma \rrbracket = \llbracket \sigma = \tau \rrbracket$ ;
  - 3  $\llbracket \tau = \sigma \rrbracket \wedge \llbracket \sigma = \chi \rrbracket \leq \llbracket \tau = \chi \rrbracket$ ;
- for  $R \in \mathcal{L}$  with arity  $n$ , and  $(\tau_1, \dots, \tau_n), (\sigma_1, \dots, \sigma_n) \in M^n$ ,

$$\llbracket R(\tau_1, \dots, \tau_n) \rrbracket \wedge \bigwedge_{h \in \{1, \dots, n\}} \llbracket \tau_h = \sigma_h \rrbracket \leq \llbracket R(\sigma_1, \dots, \sigma_n) \rrbracket.$$

## Definition

Let  $\mathcal{M}$  be a B-valued model in the relational language  $\mathcal{L}$ . The *boolean value*

$$\llbracket \phi \rrbracket_{\mathbf{B}}^{\mathcal{M}} = \llbracket \phi \rrbracket$$

of the formula  $\phi$  is defined by recursion as follows:

- $\llbracket \neg\psi \rrbracket = \neg \llbracket \psi \rrbracket$ ;
- $\llbracket \psi \wedge \theta \rrbracket = \llbracket \psi \rrbracket \wedge \llbracket \theta \rrbracket$ ;
- $\llbracket \exists y\psi(y) \rrbracket = \bigvee_{\tau \in M} \llbracket \psi(y/\tau) \rrbracket$ .

## Theorem (Soundness and completeness)

An  $\mathcal{L}$ -sentence  $\phi$  is provable by an  $\mathcal{L}$ -theory  $T$  if and only if, for every B-valued model for  $\mathcal{L}$  in which every axiom of  $T$  has boolean value 1,  $\llbracket \phi \rrbracket_{\mathbf{B}}^{\mathcal{M}} = 1$ .

## Example 1: (ultra)products

Let  $\{\mathcal{M}_x : x \in X\}$  be a family of structures for the language  $\mathcal{L}$ .

$$\prod_{x \in X} \mathcal{M}_x = \{f : X \rightarrow \bigcup_{x \in X} \mathcal{M}_x : f(x) \in \mathcal{M}_x\}$$

is a  $\mathcal{P}(X)$ -valued model for  $\mathcal{L}$ : if  $R$  is an  $n$ -ary relational symbol

$$\begin{aligned} \llbracket f_1 = f_2 \rrbracket &= \{x \in X : f_1(x) = f_2(x)\}; \\ \llbracket R(f_1, \dots, f_n) \rrbracket &= \{x \in X : \mathcal{M}_x \models R(f_1(x), \dots, f_n(x))\}. \end{aligned}$$

## Example 2 (from analysis)

Let  $\mathcal{M}_L$  be the algebra of Lebesgue measurable subsets of  $\mathbb{R}$  and let  $\text{Null}$  be the ideal of null sets. The *measure algebra* is  $\text{MALG} := \mathcal{M}_L / \text{Null}$ .

Then  $L^\infty(\mathbb{R})$  is a MALG-valued model for the language of rings  $\mathcal{L} = \{+, \cdot, 0, 1\}$  where, for  $f, g, h \in L^\infty(\mathbb{R})$ ,

$$\llbracket +(f, g, h) \rrbracket := \left[ \{r \in \mathbb{R} : f(r) + g(r) = h(r)\} \right]_{\text{Null}}.$$

One can prove that  $L^\infty(\mathbb{R}) \models T_{\text{fields}}$ :

$$\llbracket \forall f (f \neq 0 \rightarrow \exists g (f \cdot g = 1)) \rrbracket = 1_{\text{MALG}}.$$



## Quotients of B-valued models

Let  $\mathcal{M}$  a B-valued model for  $\mathcal{L}$ , and  $F$  a filter over B. Consider the equivalence relation

$$\tau \equiv_F \sigma \quad \iff \quad \llbracket \tau = \sigma \rrbracket \in F.$$

The B/F-valued model  $\mathcal{M}/F = \langle M/F, R_i^{M/F} : i \in I, c_j^{M/F} : j \in J \rangle$  is defined letting:

- $M/F := M / \equiv_F$ ;
- for any  $n$ -ary relation symbol  $R$  in  $\mathcal{L}$

$$R^{M/F}([\tau_1]_F, \dots, [\tau_n]_F) = \llbracket R(\tau_1, \dots, \tau_n) \rrbracket_F \in B/F;$$

- For any constant symbol  $c$  in  $\mathcal{L}$ ,  $c^{M/F} = [c^{\mathcal{M}}]_F$ .

In particular, if  $G$  is an ultrafilter,  $\mathcal{M}/G$  is a traditional first order structure.

# Fullness

## Definition

Given a first order signature  $\mathcal{L}$ , a  $B$ -valued model  $\mathcal{M}$  for  $\mathcal{L}$  is **full** if for all ultrafilters  $G$  on  $B$ , all  $\mathcal{L}$ -formulas  $\phi(x_1, \dots, x_n)$  and all  $\tau_1, \dots, \tau_n \in \mathcal{M}$

$$\mathcal{M}/G \models \phi([\tau_1]_G, \dots, [\tau_n]_G) \quad \text{if and only if} \quad \llbracket \phi(\tau_1, \dots, \tau_n) \rrbracket^{\mathcal{M}} \in G.$$

## Example

- If  $\{\mathcal{M}_x : x \in X\}$  is a family of  $\mathcal{L}$  structures,  $\prod_{x \in X} \mathcal{M}_x$  is a full model for  $\mathcal{L}$  (Łoś Theorem).
- The MALG-valued model  $L^\infty(\mathbb{R})$  is not full for  $\mathcal{L} = \{+, \cdot, 0, 1\}$  since we can find ultrafilters  $G \in \text{St}(\text{MALG})$  such that  $L^\infty(\mathbb{R})/G$  is not a field.

## Theorem (Łoś Theorem for boolean valued models)

Let  $\mathcal{M}$  be a  $B$ -valued model for the signature  $\mathcal{L}$ .

The following are equivalent:

- 1  $\mathcal{M}$  is full, i.e.  $\mathcal{M}/G \models \phi([\tau_1]_G, \dots, [\tau_n]_G) \iff \llbracket \phi(\tau_1, \dots, \tau_n) \rrbracket^{\mathcal{M}} \in G$ ;
- 2 for all  $\mathcal{L}_{\mathcal{M}}$ -formulas  $\phi(x_0, \dots, x_n)$  and all  $\tau_1, \dots, \tau_n \in \mathcal{M}$  there exists  $\sigma_1, \dots, \sigma_m \in \mathcal{M}$  such that

$$\bigvee_{\sigma \in \mathcal{M}} \llbracket \phi(\sigma, \tau_1, \dots, \tau_n) \rrbracket = \bigvee_{i=1}^m \llbracket \phi(\sigma_i, \tau_1, \dots, \tau_n) \rrbracket$$

# Mixing property

## Definition

A  $B$ -valued model  $\mathcal{M}$  satisfies the ***mixing property*** if for every antichain  $A \subset B$ , and for every subset  $\{\tau_a : a \in A\} \subseteq M$ , there exists  $\tau \in M$  such that

$$a \leq \llbracket \tau = \tau_a \rrbracket \text{ for every } a \in A.$$

## Proposition

*Let  $\mathcal{M}$  be a  $B$ -model for  $\mathcal{L}$  satisfying the mixing property. Then  $\mathcal{M}$  is full.*

*If  $M$  is a countable transitive model of ZFC, then the forcing  $B$ -valued model for set theory  $M^B$  is full but not mixing.*

# Presheaves and sheaves

For  $X$  a topological space, a  $X$ -**presheaf** is a contravariant functor  $\mathcal{O}(X) \rightarrow \text{Set}$ .

A  $X$ -presheaf  $\mathcal{F}$  is a  $X$ -**topological sheaf** if for every family  $\{U_i : i \in I\} \subseteq \mathcal{O}(X)$  with  $U = \bigcup_{i \in I} U_i$ :

- 1 if  $f, g \in \mathcal{F}(U)$  are such that  $\mathcal{F}(U_i \subseteq U)(f) = \mathcal{F}(U_i \subseteq U)(g)$  then  $f = g$ ;
- 2 if  $\{f_i \in \mathcal{F}(U_i) : i \in I\}$  is a *matching family* i.e. such that, for  $i \neq j$

$$\mathcal{F}(U_i \cap U_j \subseteq U_i)(f_i) = \mathcal{F}(U_i \cap U_j \subseteq U_j)(f_j),$$

then there exists a *collation*  $f \in \mathcal{F}(U)$  such that

$$\mathcal{F}(U_i \subseteq U)(f) = f_i \quad \text{for every } i \in I.$$

A  $X$ -presheaf  $\mathcal{F}$  is a  **$X$ -stonean sheaf** if for every family  $\{U_i : i \in I\} \subseteq \mathcal{O}(X)$  with  $\bigcup_{i \in I} U_i$  dense in  $U \in \mathcal{O}(X)$ :

- 1 if  $f, g \in \mathcal{F}(U)$  are such that  $\mathcal{F}(U_i \subseteq U)(f) = \mathcal{F}(U_i \subseteq U)(g)$  then  $f = g$ ;
- 2 if  $\{f_i \in \mathcal{F}(U_i) : i \in I\}$  is a *matching family* i.e. such that, for  $i \neq j$

$$\mathcal{F}(U_i \cap U_j \subseteq U_i)(f_i) = \mathcal{F}(U_i \cap U_j \subseteq U_j)(f_j),$$

then there exists a *collation*  $f \in \mathcal{F}(U)$  such that

$$\mathcal{F}(U_i \subseteq U)(f) = f_i \quad \text{for every } i \in I.$$

We can replace  $U$  in the definition with  $\text{Reg}(\bigcup_{i \in I} U_i)$ .

*A stonean sheaf is also a topological sheaf.*

# Boolean valued models as presheaves

Given a complete boolean algebra  $B$  and a  $B$ -valued model  $\mathcal{M}$ , its associated presheaf  $\mathcal{F}_{\mathcal{M}} : \mathcal{O}(\text{St}(B))^{op} \rightarrow \text{Set}$  is such that

- $\mathcal{F}_{\mathcal{M}}(U) = \mathcal{M}/_{F_{\text{Reg}(U)}}$  where  $F_{\text{Reg}(U)}$  is the filter generated by  $\text{Reg}(U)$ ;
- $\mathcal{F}_{\mathcal{M}}(U \subseteq V)$  is the map

$$i_{UV}^{\mathcal{M}} : \mathcal{M}/_{F_{\text{Reg}(V)}} \rightarrow \mathcal{M}/_{F_{\text{Reg}(U)}}$$
$$[\tau]_{F_{\text{Reg}(V)}} \mapsto [\tau]_{F_{\text{Reg}(U)}}.$$

## Theorem (Monro - '86)

Let  $B$  be a complete boolean algebra. Then the  $B$ -valued model  $\mathcal{M}$  has the mixing property if and only if the presheaf  $\mathcal{F}_{\mathcal{M}}$  is a sheaf.

Note:  $\mathcal{F}_{\mathcal{M}}$  is a topological sheaf if and only if it is a stonian sheaf.

## Presheaves as boolean valued models

Given a topological space  $X$  and a  $X$ -presheaf  $\mathcal{F} : O(X)^{op} \rightarrow \text{Set}$ , we can associate to it a  $\text{RO}(X)$ -valued model  $\mathcal{M}_{\mathcal{F}}$  for the empty language:

$$\mathcal{M}_{\mathcal{F}} = \mathcal{F}(X)$$

with the interpretation for  $=$

$$\llbracket f = g \rrbracket := \text{Reg}\left(\bigcup \{U \in O(X) : \mathcal{F}(U \subseteq X)(f) = \mathcal{F}(U \subseteq X)(g)\}\right).$$

While  $\mathcal{M} \cong \mathcal{M}_{\mathcal{F}_{\mathcal{M}}}$ ,  $\mathcal{F}$  and  $\mathcal{F}_{\mathcal{M}_{\mathcal{F}}}$  can have little in common:

- $\mathcal{F}$  is defined on  $X$  while  $\mathcal{F}_{\mathcal{M}_{\mathcal{F}}}$  is defined on  $\text{St}(\text{RO}(X))$ ;
- if  $\mathcal{F}$  is a topological sheaf but not stonelian, then  $\mathcal{F}_{\mathcal{M}_{\mathcal{F}}}$  is not a (topological) sheaf.



# Topological sheafification

## Definition

Let  $X$  be a topological space and  $\mathcal{F} : \mathcal{O}(X)^{\text{op}} \rightarrow \text{Set}$  be a presheaf.

$$E_{\mathcal{F}} := \coprod_{x \in X} \mathcal{F}_x = \{[f]_{\sim_x} : x \in X, f \in \mathcal{F}(U), x \in U \in \mathcal{O}(X)\}$$

projects onto  $X$  via the map  $p_{\mathcal{F}} : [f]_{\sim_x} \mapsto x$ . Each  $f \in \mathcal{F}(U)$  determines

$$\begin{aligned} \dot{f} : U &\rightarrow E_{\mathcal{F}} \\ x &\mapsto [f]_{\sim_x} \end{aligned}$$

The topology of  $E_{\mathcal{F}}$  is generated by the family of the  $\dot{f}[U]$ 's.

The **topological sheafification**  $\text{sh}(\mathcal{F}) : \mathcal{O}(X)^{\text{op}} \rightarrow \text{Set}$  is the (topological) sheaf of continuous sections of  $p_{\mathcal{F}}$ .

# Stonean sheafification

## Definition

Let  $X = \text{St}(\text{RO}(X))$  and  $\mathcal{F} : \mathcal{O}(X)^{\text{op}} \rightarrow \text{Set}$  be a presheaf.

Its **stonean sheafification** is the (stonean) sheaf  $\text{St-sh}(\mathcal{F}) : \mathcal{O}(X)^{\text{op}} \rightarrow \text{Set}$  where  $\text{St-sh}(\mathcal{F})(U)$  is the set of continuous functions

$$s : U \rightarrow E_{\mathcal{F}} \cup \{\infty\}$$

such that

- $\{x \in U : s(x) \in E_{\mathcal{F}}\}$  contains an open dense subset  $D_s$  of  $U$ ;
- $s \upharpoonright D_s$  is a section of  $p_{\mathcal{F}}$  (i.e.  $s \upharpoonright D_s \in \text{sh}(\mathcal{F})(D_s)$ ).

## Theorem

*The functor  $\mathcal{F} \mapsto \text{St-sh}(\mathcal{F})$  is left adjoint to the inclusion of the stonean  $X$ -sheaves into the  $X$ -presheaves.*

## Sheafifying a boolean valued model

Let  $\mathcal{M}$  be a  $B$ -valued model for the language  $\mathcal{L}$ . Its sheafification is the  $B$ -valued model for the language  $\mathcal{L}$

$$\mathcal{M}^+ = \{s : \text{St}(B) \rightarrow E_{\mathcal{F}_M} \cup \{\infty\} : s \text{ continuous and } s^{-1}[\{\infty\}] \text{ nowhere dense}\}.$$

Consider the maps

$$\begin{aligned} \xi_G : \mathcal{M}/G &\rightarrow \mathcal{M}^+/G \\ [\sigma]_G &\mapsto [\dot{\sigma}]_G \end{aligned}$$

### Proposition

- if  $G \in \text{St}(B)$  is generic, then  $\xi_G$  is an isomorphism;
- $\mathcal{M}$  has the mixing property if and only if, for every  $G \in \text{St}(B)$ ,  $\xi_G$  is an isomorphism;
- $\mathcal{M}$  is full if and only if, for every  $G \in \text{St}(B)$ ,  $\xi_G$  is an elementary embedding.

## An example: B-names for the real numbers

Let  $\mathcal{L}$  be a relational language whose interpretation in  $\mathbb{R}$  is Borel.  
The family  $C(\text{St}(\mathbb{B}), \mathbb{R})$  is a B-valued model for  $\mathcal{L}$  with the interpretation

$$\llbracket R(f_1, \dots, f_n) \rrbracket^{C(\text{St}(\mathbb{B}), \mathbb{R})} := \text{Reg}(\{G \in \text{St}(\mathbb{B}) : R^{\mathbb{R}}(f_1(G), \dots, f_n(G))\}).$$

This model has not the mixing property: take a countable antichain and the family of constant functions  $\{c_n : G \mapsto n\}_{n \in \mathbb{N}}$ .






Its stonean sheafification is the sheaf

$$C^+(\text{St}(\mathbb{B}), \mathbb{R}) = \{s : \text{St}(\mathbb{B}) \rightarrow \mathbb{R} \cup \{\infty\} : s \text{ continuous and } s^{-1}[\{\infty\}] \text{ meager}\}.$$

It is isomorphic (see Vaccaro - Viale) to the B-model

$$\{\tau \in V^{\mathbb{B}} : \llbracket \tau \in \mathbb{R} \rrbracket^{V^{\mathbb{B}}} = 1\}.$$

# References

-  Monro. *A Category-Theoretic Approach to Boolean-Valued Models of Set Theory*. Journal of Pure and Applied Algebra 42 (1986) 245-274.
-  Monro. *Quasitopoi, Logic and Heyting-Valued Models*. Journal of Pure and Applied Algebra 42 (1986) 141-164.
-  Loullis. *Sheaves and Boolean Valued Model Theory*. Journal of Symbolic Logic 44.2 (1979) 153-183.
-  P. - Viale. *Boolean valued models, presheaves, and étalé spaces*. arXiv: 2006.14852.
-  Vaccaro - Viale. *Generic absoluteness and boolean names for elements of a Polish space*. Bollettino dell'Unione Matematica Italiana 10(3) (2017) 293–319.

# THANK YOU!